

## CHAPTER 5: OFFICIAL NORTH AMERICAN MEAT INSTITUTE AUDIT FORMS

Official NAMI Audit Forms are included in the following section. These forms are dated. Updates to these forms may be made based upon new information and user feedback.

Any updated forms will be posted on [www.animalhandling.org](http://www.animalhandling.org)

## TRANSPORTATION AUDIT FORM: CATTLE

Date: \_\_\_\_\_

Name and auditing company: \_\_\_\_\_

Plant location: \_\_\_\_\_

Plant contact: \_\_\_\_\_

Number of trucks audited: \_\_\_\_\_

Temperature/weather conditions: \_\_\_\_\_

### Core Criteria 1: Plant transportation policy and preparedness for receiving animals.

- |   |           |
|---|-----------|
| 1. Plant has written animal welfare policy for transporters.  | _____ / 1 |
| 2. Plant provides extreme temperature management tools.   | _____ / 1 |
| 3. Arrival management process minimizes waiting time at the plant.                                    | _____ / 1 |
| 4. Emergency plans in place for animals in transit.   | _____ / 1 |
| 5. Written policy for non-ambulatory and fatigued animals and tools available for handling.           | _____ / 1 |
| 6. Acceptable handling tools available and utilized as needed.  | _____ / 1 |
| 7. Availability of acceptable euthanasia tools.   | _____ / 1 |
| 8. Maintenance records for euthanasia equipment, proper storage and employee training for euthanasia. | _____ / 1 |
| 9. Gates in unloading area swing freely, latch securely and have no sharp protrusions.                | _____ / 1 |
| 10. Non-slip flooring.  | _____ / 1 |
| 11. Unloading area and ramps in good repair.  | _____ / 1 |
| 12. Adequate lighting.  | _____ / 1 |
| 13. Staff available for receiving animals.  | _____ / 1 |
| 14. Does the plant have documented employee training for livestock receiving?                         | _____ / 1 |

**Total for Core Criteria 1:** \_\_\_\_\_ / 14

**Excellent** – 14 of the 14 criteria met

**Acceptable** – 12 or 13 of the criteria met

**Not Acceptable** – 10 or 11 of the criteria met

**Serious Problem** – 9 or fewer of the criteria met

#### COMMENTS FOR CORE CRITERIA 1

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Score each truck using the following audit score sheet for Core Criteria 2 – 7. You will need to make a copy of the remaining pages for each truck. At the end of the audit, the points for each load will be added together to obtain the final score for each of the core criteria.

**Trailer #:** \_\_\_\_\_ **Total # of animals on trailer:** \_\_\_\_\_

**Type of Trailer:**      Straight Trailer      Drop Center/Pot Belly Trailer      Farm Trailer      Other

**Cattle Type** (circle all that apply):    Fed Cattle      Cull Dairy Cows    Cull Beef Cows    Mature Bulls

**Core Criteria 2: Set-up, loading and alignment of trailer.**

- 1. Trailer loaded at proper density. \_\_\_\_\_ / 1
  - 2. Incompatible animals segregated when required. \_\_\_\_\_ / 1
  - 3. Trailer properly aligned with the unloading area to prevent extremities from being caught in gaps. \_\_\_\_\_ / 1
- Total for Core Criteria 2:** \_\_\_\_\_ / 3

*Individual truck scores will be averaged together. At least two trucks must be scored. See final scoring form for calculations.*

- Excellent** – 100% average score
- Acceptable** – 90% average score or greater
- Not Acceptable** – Less than 90% average score
- Serious Problem** – Less than 80% average score

**COMMENTS FOR CORE CRITERIA 2**

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**Core Criteria 3: Timeliness of arrival of the truck and trailer and animal unloading.**

**Time truck/trailer arrives to plant:** \_\_\_\_\_ **Time first animal unloads:** \_\_\_\_\_

**Total time to begin unloading:** \_\_\_\_\_

**Plant begins unloading within:**

- 60 minutes of arrival = Full 4 points
- 61 to 90 minutes = 3 out of 4 points
- 91 to 120 minutes = 2 out of 4 points
- ≥ 120 minutes (with reason) = 1 out of 4 points
- ≥ 120 minutes (without reason) = 0 out of 4 points

**Total for Core Criteria 3:** \_\_\_\_\_ / 4

*Individual truck scores will be averaged together. At least two trucks must be scored. See final scoring form for calculations.*

**Excellent** – 95% or greater

**Acceptable** – 85% or greater

**Not Acceptable** – Less than 85%

**Serious Problem** – Less than 80%

**COMMENTS FOR CORE CRITERIA 3**

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**Core Criteria 4: Falls**

Total number of falls (tally falls here): \_\_\_\_\_ Percent: \_\_\_\_\_

**Excellent** – No falling

**Acceptable** – 1% or fewer falling (body touches floor)

**Not acceptable** – More than 1% falling down

**Serious problem** – 5% or more falling down

**COMMENTS FOR CORE CRITERIA 4**

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**Core Criteria 5: Electric Prod Use**

Total number of animals electrically prodded during unloading

(tally electric prod use here): \_\_\_\_\_ Percent: \_\_\_\_\_

**Excellent** – 0% or less

**Acceptable** – 10% or less

**Not acceptable** – More than 10%

**Serious problem** – 25% or more

**COMMENTS FOR CORE CRITERIA 5**

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**Core Criteria 6: Condition of Animal**

Non-ambulatory animals (tally here): \_\_\_\_\_

Severely injured animals (tally here): \_\_\_\_\_

# above that were severely emaciated (tally here for transfer to secondary criteria): \_\_\_\_\_

Severely lame animals (tally here): \_\_\_\_\_

Heat-stressed animals (tally here): \_\_\_\_\_

Calving (tally here): \_\_\_\_\_

**Total for Core Criteria 6:** \_\_\_\_\_ **Percent:** \_\_\_\_\_

**Excellent** –1% or less compromised animals on the trailer at arrival.

**Acceptable** –2% or less compromised animals on the trailer at arrival.

**Not Acceptable** –More than 2% compromised animals on the trailer at arrival.

**Serious Problem** –More than 3% compromised animals on the trailer at arrival.

**COMMENTS FOR CORE CRITERIA 6**

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**Core Criteria 7: Willful Acts of Abuse/Egregious Acts**

**Any willful act of abuse/egregious act grounds for automatic audit failure.** Willful acts of abuse include, but are not limited to: 1) Dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal such as the eyes, ears, nose, anus, testicle or belly; 3) deliberate slamming of gates on animals; 4) malicious driving of ambulatory livestock on top of one another either manually or with direct contact with motorized equipment (this excludes loading a non-ambulatory animal for transport); 5) purposefully driving livestock off high ledges, platforms or off a truck without a ramp (driving market weight or adult animals off a low stock trailer is acceptable); 6) hitting or beating an animal; or 7) animals frozen to the floor or sides of the trailer.

Any willful act of abuse observed? Yes or No

**COMMENTS FOR WILLFUL ACTS OF ABUSE**

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### Cattle Transportation Audit Form - Final Scoring

Core Criteria	Total on all trucks	Total number of animals audited or total points available	Actual %	Pass or Fail
<b>Core Criteria 1</b> Plant Audit		14		
<b>Core Criteria 2</b> Set up, loading and alignment of trailer		(# of trucks x 3 points divided by # of trucks)		
<b>Core Criteria 3</b> Timeliness of arrival and unloading		(# of trucks x 4)		
<b>Core Criteria 4</b> Falls		(Total # of falls)		
<b>Core Criteria 5</b> Electric Prod Use		(Total # electrically prodded)		
<b>Core Criteria 6</b> Condition of Animals		(Total # of compromised animals)		
<b>Core Criteria 7</b> Any willful acts of abuse observed?		(Yes or No)		

Notes:

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Passed all numerically scored criteria?                      Yes or No

Notes:

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Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Secondary Items from Cattle Audit

### Secondary Items Within Core Criteria 2

- |  |           |
|--|-----------|
| 1. Non-slip, solid flooring.   | Yes or No |
| 2. Gates and doors open freely and can be secured shut.  | Yes or No |
| 3. Internal ramps function properly and extend all the way to the floor.   | Yes or No |
| 4. No sharp or protruding objects that can injure the animals.   | Yes or No |
| 5. If transporting dairy cows, veal calves and some cull beef cows: Winter side slats or plugs are in place at recommended levels? | Yes or No |

#### Comments on Secondary Items Within Core Criteria 2:

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### Secondary Item within Core Criteria 3:

Time first animal unloads: \_\_\_\_\_ Time last animal unloads: \_\_\_\_\_

Total unload time: \_\_\_\_\_

Provide comment on trailers that may have experienced problems or lengthy unloading times:

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### Secondary Items within Core Criteria 4:

- Total number of slips (tally slips here): \_\_\_\_\_
- Temperament of livestock (circle one):      Excitable      Normal      Docile

Note any problems or comments on livestock temperament. For example there may have been a high number of slips on one load and the temperament of the animals may have been a factor:

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- Did the person doing the unloading do so quietly and calmly? Yes or No

Comment on the attitude and behavior of the people unloading the livestock here. As an example, their temperament may be correlated to the number of slips and falls:

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**Secondary Items within Core Criteria 5:**

- 1. Does the plant have a “No Electric Prod Use” policy posted? Yes or No
- 2. Do the people unloading have electric prods in their hands? Yes or No
- 3. Did the driver use an electric prod in or through the sides or roof of the trailer? Yes or No
- 4. Were rattle paddles, sort boards, flags, or other handling tools used incorrectly? Yes or No

Comment on the use of electric prods here and if acceptable handling tools were used incorrectly:

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**Secondary Items within Core Criteria 6:**

- 1. Number of dead animals on the trailer (tally animals here): \_\_\_\_\_
- 2. Does the plant have a method for communicating back to the site of trailer loading? Yes or No
- 3. Were any of the animals unloaded considered severely emaciated? Yes or No  
If yes, tally the number of animals here: \_\_\_\_\_
- 4. Did any of the cattle have poor udder conditions? Yes or No  
If yes, tally the number of animals here: \_\_\_\_\_
- 5. Were severely injured/severely lame animals promptly euthanized? Yes or No

Comment on the number of dead or emaciated animals or animals with poor udder conditions on the trailer:

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Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_



## TRANSPORTATION AUDIT FORM: SWINE

Date: \_\_\_\_\_

Name and auditing company: \_\_\_\_\_

Plant location: \_\_\_\_\_

Plant contact: \_\_\_\_\_

Number of trucks audited: \_\_\_\_\_

Temperature/weather conditions: \_\_\_\_\_

### Core Criteria 1: Plant transportation policy and preparedness for receiving animals.

- |   |            |
|---|------------|
| 1. Plant has written animal welfare policy for transporters.  | _____ / 1  |
| 2. Plant provides extreme temperature management tools.   | _____ / 1  |
| 3. Arrival management process minimizes waiting time at the plant.                                    | _____ / 1  |
| 4. Emergency plans in place for animals in transit.   | _____ / 1  |
| 5. Written policy for non-ambulatory and fatigued animals and tools available for handling.           | _____ / 1  |
| 6. Acceptable handling tools available and utilized as needed.  | _____ / 1  |
| 7. Availability of acceptable euthanasia tools.   | _____ / 1  |
| 8. Maintenance records for euthanasia equipment, proper storage and employee training for euthanasia. | _____ / 1  |
| 9. Gates in unloading area swing freely, latch securely and have no sharp protrusions.                | _____ / 1  |
| 10. Non-slip flooring.  | _____ / 1  |
| 11. Unloading area and ramps in good repair.  | _____ / 1  |
| 12. Adequate lighting.  | _____ / 1  |
| 13. Staff available for receiving animals.  | _____ / 1  |
| 14. Does the plant have documented employee training for livestock receiving?                         | _____ / 1  |
| <b>Total for Core Criteria 1:</b>   | _____ / 14 |

**Excellent** – 14 of the criteria met

**Acceptable** – 12 or 13 of the criteria met

**Not Acceptable** – 10 or 11 of the criteria met

**Serious Problem** – 9 or fewer of the criteria met

### COMMENTS FOR CORE CRITERIA 1

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Score each truck using the following audit score sheet for Core Criteria 2 – 7. You will need to make a copy of the remaining pages for each truck. At the end of the audit, the points for each load will be added together to obtain the final score for each of the core criteria.

Trailer #: \_\_\_\_\_ Total # of animals on trailer: \_\_\_\_\_

Type of Trailer:      Straight Trailer      Drop Center/Pot Belly Trailer      Farm Trailer      Other

Swine Type (circle all that apply):      Market Pigs      Cull Sows      Mature Boars

Has the driver completed the National Pork Board's TQA™ program or the Canadian Livestock Transporter (CLT) Certification Program?      Yes or No      # \_\_\_\_\_

**Core Criteria 2: Set-up, loading and alignment of trailer.**

- 1. Compartments gated. \_\_\_\_\_ / 1
  - 2. Trailer loaded at proper density. \_\_\_\_\_ / 1
  - 3. Incompatible animals segregated when required. \_\_\_\_\_ / 1
  - 4. Trailer properly aligned with the unloading area to prevent extremities  
from being caught in gaps. \_\_\_\_\_ / 1
- Total for Core Criteria 2:** \_\_\_\_\_ / 4

For swine, each of the four criteria is worth 1 point each, for a total of 4 points for this core criteria.

*Individual truck scores will be averaged together. At least two trucks must be scored. See final scoring form for calculations.*

- Excellent** – 100% average score
- Acceptable** – 90% average score or greater
- Not Acceptable** – Less than 90% average score
- Serious Problem** – Less than 80% average score

**COMMENTS FOR CORE CRITERIA 2**

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**Core Criteria 3: Timeliness of arrival of the truck and trailer and animal unloading.**

Time truck/trailer arrives to plant: \_\_\_\_\_ Time first animal unloads: \_\_\_\_\_

Total time to begin unloading: \_\_\_\_\_

Plant begins unloading within:

- 60 minutes of arrival = Full 4 points
- 61 to 90 minutes = 3 out of 4 points
- 91 to 120 minutes = 2 out of 4 points
- ≥ 120 minutes (with reason) = 1 out of 4 points
- ≥ 120 minutes (without reason): = 0 out of 4 points

**Total for Core Criteria 3:** \_\_\_\_\_ / 4

*Individual truck scores will be averaged; at least two trucks must be scored. See final scoring form for calculations.*

- Excellent** – 95% or greater
- Acceptable** – 85% or greater
- Not Acceptable** – Less than 85%
- Serious Problem** – Less than 80%

**COMMENTS FOR CORE CRITERIA 3**

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**Core Criteria 4: Falls**

Total number of falls (tally falls here): \_\_\_\_\_ Percent: \_\_\_\_\_

- Excellent – No falling
- Acceptable – 1% or fewer falling (body touches floor)
- Not acceptable – More than 1% falling down
- Serious problem – 5% or more falling down

**COMMENTS FOR CORE CRITERIA 4**

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**Core Criteria 5: Electric Prod Use**

Total number of animals electrically prodded during unloading  
 (tally electric prod use here): \_\_\_\_\_ Percent: \_\_\_\_\_

- Excellent – 0% or less
- Acceptable – 10% or less
- Not acceptable – More than 10%
- Serious problem – 25% or more

**COMMENTS FOR CORE CRITERIA 5**

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**Core Criteria 6: Condition of Animal**

Non-ambulatory animals (tally here): \_\_\_\_\_  
 Severely injured animals (tally here): \_\_\_\_\_  
     # above that were severely emaciated (tally here for transfer to secondary criteria): \_\_\_\_\_  
 Heat-stressed animals (tally here): \_\_\_\_\_  
 Severely lame animals (tally here): \_\_\_\_\_  
 Frostbitten animals (tally here): \_\_\_\_\_  
 Farrowing animals (tally here): \_\_\_\_\_  
**Total for Core Criteria 6:** \_\_\_\_\_ **Percent:** \_\_\_\_\_

- Excellent –1% or less compromised animals on the trailer at arrival.
- Acceptable –3% or less compromised animals on the trailer at arrival.
- Not Acceptable –More than 3% compromised animals on the trailer at arrival.
- Serious Problem –More than 4% compromised animals on the trailer at arrival.

COMMENTS FOR CORE CRITERIA 6

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**Core Criteria 7: Willful Acts of Abuse /Egregious Acts**

**Any willful act of abuse/egregious acts is grounds for automatic audit failure.** Willful acts of abuse include, but are not limited to: 1) Dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal such as the eyes, ears, nose, anus or testicles; 3) deliberate slamming of gates on livestock; 4) malicious driving of ambulatory livestock on top of one another either manually or with direct contact with motorized equipment (this excludes loading a non-ambulatory animal for transport); 5) purposefully driving livestock off high ledges, platforms or off a truck without a ramp (driving market weight or adult animals off a low stock trailer is acceptable); 6) hitting or beating an animal; or 7) animals frozen to the floor or sides of the trailer.

Any willful act of abuse observed?    Yes   or   No

COMMENTS FOR WILLFUL ACTS OF ABUSE

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### Swine Transportation Audit Form - Final Scoring

Core Criteria	Total on all trucks	Total number of animals audited or total points available	Actual %	Pass or Fail
<b>Core Criteria 1</b> Plant Audit		14		
<b>Core Criteria 2</b> Set up, loading and alignment of trailer, compartments gated		(# of trucks x 4 points divided by # of trucks)		
<b>Core Criteria 3</b> Timeliness of arrival and unloading		(# of trucks x 4)		
<b>Core Criteria 4</b> Falls		(Total # of falls)		
<b>Core Criteria 5</b> Electric Prod Use		(Total # electrically prodded)		
<b>Core Criteria 6</b> Condition of Animals		(Total # of compromised animals)		
<b>Core Criteria 7</b> Any willful acts of abuse observed?		(Yes or No)		

**Notes:**

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Passed all numerically scored criteria? **Yes or No**

**Notes:**

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Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Secondary Items from Swine Audit

### Secondary Items Within Core Criteria 2

- |  |           |
|--|-----------|
| 1. Non-slip, solid flooring.   | Yes or No |
| 2. Gates and doors open freely and can be secured shut.                  | Yes or No |
| 3. Internal ramps function properly and extend all the way to the floor. | Yes or No |
| 4. No sharp or protruding objects that can injure the animals.           | Yes or No |
| 5. Trucks follow plant bedding requirement or industry best practice.    | Yes or No |
| 6. Winter side slats or plugs are in place at recommended levels*        | Yes or No |

\*See discussion in NAMI Recommended Animal Handling Guidelines, page 8

#### Comments on Secondary Items Within Core Criteria 2:

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### Secondary Item within Core Criteria 3:

Time first animal unloads: \_\_\_\_\_ Time last animal unloads: \_\_\_\_\_

Total unload time: \_\_\_\_\_

Provide comment on trailers that may have experienced problems or lengthy unloading times:

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### Secondary Items within Core Criteria 4:

1. Total number of slips (tally slips here): \_\_\_\_\_

2. Temperament of livestock (circle one):      Normal Moving      Difficult to Move

Note any problems or comments on livestock temperament. For example there may have been a high number of slips on one load and the temperament of the animals may have been a factor:

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3. Did the person doing the unloading do so quietly and calmly? Yes or No

Comment on the attitude and behavior of the people unloading the livestock here. As an example, their temperament may be correlated to the number of slips and falls:

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**Secondary Items within Core Criteria 5:**

- 1. Does the plant have a “No Electric Prod Use” policy posted? Yes or No
- 2. Do the people unloading have electric prods in their hands? Yes or No
- 3. Did the driver use an electric prod in or through the sides or roof of the trailer? Yes or No
- 4. Were rattle paddles, sort boards, flags, or other handling tools used incorrectly? Yes or No

Comment on the use of electric prods here and if acceptable handling tools were used incorrectly:

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**Secondary Items within Core Criteria 6:**

- 1. Number of dead animals on the trailer (tally animals here): \_\_\_\_\_
- 2. Does the plant have a method for communicating back to the site of trailer loading? Yes or No
- 3. Were any of the animals unloaded considered severely emaciated? Yes or No  
If yes, tally the number of animals here: \_\_\_\_\_
- 4. Were severely injured/severely lame animals promptly euthanized? Yes or No

Comment on the number of dead or emaciated animals on the trailer:

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Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## TRANSPORTATION AUDIT FORM: SHEEP

Date: \_\_\_\_\_

Name and auditing company: \_\_\_\_\_

Plant location: \_\_\_\_\_

Plant contact: \_\_\_\_\_

Number of trucks audited: \_\_\_\_\_

Temperature/weather conditions: \_\_\_\_\_

### Core Criteria 1: Plant transportation policy and preparedness for receiving animals.

- |   |           |
|---|-----------|
| 1. Plant has written animal welfare policy for transporters.  | _____ / 1 |
| 2. Plant provides extreme temperature management tools.   | _____ / 1 |
| 3. Arrival management process minimizes waiting time at the plant.                                    | _____ / 1 |
| 4. Emergency plans in place for animals in transit.   | _____ / 1 |
| 5. Written policy for non-ambulatory and fatigued animals and tools available for handling.           | _____ / 1 |
| 6. Acceptable handling tools available and utilized as needed.  | _____ / 1 |
| 7. Availability of acceptable euthanasia tools.   | _____ / 1 |
| 8. Maintenance records for euthanasia equipment, proper storage and employee training for euthanasia. | _____ / 1 |
| 9. Gates in unloading area swing freely, latch securely and have no sharp protrusions.                | _____ / 1 |
| 10. Non-slip flooring.  | _____ / 1 |
| 11. Unloading area and ramps in good repair.  | _____ / 1 |
| 12. Adequate lighting.  | _____ / 1 |
| 13. Staff available for receiving animals.  | _____ / 1 |
| 14. Does the plant have documented employee training for livestock receiving?                         | _____ / 1 |

**Total for Core Criteria 1:** \_\_\_\_\_ / 14

**Excellent** – 14 of the criteria met

**Acceptable** – 12 or 13 of the criteria met

**Not Acceptable** – 10 or 11 of the criteria met

**Serious Problem** – 9 or fewer of the criteria met

#### COMMENTS FOR CORE CRITERIA 1

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Score each truck using the following audit score sheet for Core Criteria 2 – 7. You will need to make a copy of the remaining pages for each truck. At the end of the audit, the points for each load will be added together to obtain the final score for each of the core criteria.

**Trailer #:** \_\_\_\_\_ **Total # of animals on trailer:** \_\_\_\_\_

**Type of Trailer:**      Straight Trailer      Drop Center/Pot Belly Trailer      Farm Trailer      Other

**Sheep Type** (circle all that apply):    Fed Lambs      Cull Ewes      Mature Rams

**Core Criteria 2: Set-up, loading and alignment of trailer.**

- 1. Compartments gated. \_\_\_\_\_ / 1
  - 2. Trailer loaded at proper density. \_\_\_\_\_ / 1
  - 3. Incompatible animals segregated when required. \_\_\_\_\_ / 1
  - 4. Trailer properly aligned with the unloading area to prevent extremities from being caught in gaps. \_\_\_\_\_ / 1
- Total for Core Criteria 2:** \_\_\_\_\_ / 4

**Individual truck scores will be averaged together. At least two trucks must be scored. See final scoring form for calculations.**

- Excellent** – 100% average score
- Acceptable** – 90% average score or greater
- Not Acceptable** – Less than 90% average score
- Serious Problem** – Less than 80% average score

**COMMENTS FOR CORE CRITERIA 2**

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**Core Criteria 3: Timeliness of arrival of the truck and trailer and animal unloading.**

**Time truck/trailer arrives to plant:** \_\_\_\_\_ **Time first animal unloads:** \_\_\_\_\_

**Total time to begin unloading:** \_\_\_\_\_

**Plant begins unloading within:**

- 60 minutes of arrival = Full 4 points
- 61 to 90 minutes = 3 out of 4 points
- 91 to 120 minutes = 2 out of 4 points
- ≥ 120 minutes (with reason) = 1 out of 4 points
- ≥ 120 minutes (without reason): = 0 out of 4 points

**Total for Core Criteria 3:** \_\_\_\_\_ / 4

- Excellent** – 95% or greater
- Acceptable** – 85% or greater
- Not Acceptable** – Less than 85%
- Serious Problem** – Less than 80%

**COMMENTS FOR CORE CRITERIA 3**

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**Core Criteria 4: Falls**

Total number of falls (tally falls here): \_\_\_\_\_ Percent: \_\_\_\_\_

- Excellent – No falling
- Acceptable – 1% or fewer falling (body touches floor)
- Not acceptable – More than 1% falling down
- Serious problem – 5% or more falling down

**COMMENTS FOR CORE CRITERIA 4**

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**Core Criteria 5: Electric Prod Use**

Total number of animals electrically prodded during unloading  
 (tally electric prod use here): \_\_\_\_\_ Percent: \_\_\_\_\_

- Excellent – 0% or less
- Acceptable – 10% or less
- Not acceptable – More than 10%
- Serious problem – 25% or more

**COMMENTS FOR CORE CRITERIA 5**

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**Core Criteria 6: Condition of Animal**

Non-ambulatory animals (tally here): \_\_\_\_\_  
 Severely injured animals (tally here): \_\_\_\_\_  
     # above that were severely emaciated (tally here for transfer to secondary criteria): \_\_\_\_\_  
 Severely lame animals (tally here): \_\_\_\_\_  
 Heat-stressed animals (tally here): \_\_\_\_\_  
 Lambing (tally here): \_\_\_\_\_  
 Total for Core Criteria 6: \_\_\_\_\_ Percent: \_\_\_\_\_

**Excellent** – 1% or less compromised animals on the trailer at arrival.

**Acceptable** – 2% or less compromised animals on the trailer at arrival.

**Not Acceptable** – More than 2% compromised animals on the trailer at arrival.

**Serious Problem** – More than 3% compromised animals on the trailer at arrival.

**COMMENTS FOR CORE CRITERIA 6**

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**Core Criteria 7: Willful Acts of Abuse /Egregious Acts**

**Any willful act of abuse/egregious act is grounds for automatic audit failure.** Willful acts of abuse include, but are not limited to: 1) Dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal such as the eyes, ears, nose, anus or testicles; 3) deliberate slamming of gates on livestock; 4) malicious driving of ambulatory livestock on top of one another either manually or with direct contact with motorized equipment (this excludes loading a non-ambulatory animal for transport); 5) purposefully driving livestock off high ledges, platforms or off a truck without a ramp (driving market weight or adult animals off a low stock trailer is acceptable); 6) hitting or beating an animal; or 7) animals frozen to the floor or sides of the trailer. In sheep operations, lifting an animal by the wool or throwing a sheep also is an act of abuse.

Any willful act of abuse observed?    Yes   or   No

**COMMENTS FOR WILLFUL ACTS OF ABUSE**

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### Sheep Transportation Audit Form - Final Scoring

Core Criteria	Total on all trucks	Total number of animals audited or total points available	Actual %	Pass or Fail
<b>Core Criteria 1</b> Plant Audit		14		
<b>Core Criteria 2</b> Set up, loading and alignment of trailer, compartments gated.		(# of trucks x 4 points divided by # of trucks)		
<b>Core Criteria 3</b> Timeliness of arrival and unloading		(# of trucks x 4)		
<b>Core Criteria 4</b> Falls		(Total # of falls)		
<b>Core Criteria 5</b> Electric Prod Use		(Total # electrically prodded)		
<b>Core Criteria 6</b> Condition of Animals		(Total # of compromised animals)		
<b>Core Criteria 7</b> Any willful acts of abuse observed?		(Yes or No)		

**Notes:**

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\_\_\_\_\_ Passed all numerically scored criteria? Yes or No

**Notes:**

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Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Secondary Items from Sheep Audit

### Secondary Items Within Core Criteria 2

- |  |           |
|--|-----------|
| 1. Non-slip, solid flooring.   | Yes or No |
| 2. Gates and doors open freely and can be secured shut.                  | Yes or No |
| 3. Internal ramps function properly and extend all the way to the floor. | Yes or No |
| 4. No sharp or protruding objects that can injure the animals.           | Yes or No |
| 5. Winter side slats or plugs are in place at recommended levels.        | Yes or No |

#### Comments on Secondary Items Within Core Criteria 2:

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### Secondary Item within Core Criteria 3:

Time first animal unloads: \_\_\_\_\_ Time last animal unloads: \_\_\_\_\_

Total unload time: \_\_\_\_\_

Provide comment on trailers that may have experienced problems or lengthy unloading times:

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### Secondary Items within Core Criteria 5:

- Total number of slips (tally slips here): \_\_\_\_\_
- Temperament of livestock (circle one):      Excitable      Normal      Docile

Note any problems or comments on livestock temperament. For example there may have been a high number of slips on one load and the temperament of the animals may have been a factor:

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- Did the person doing the unloading do so quietly and calmly?      Yes      or      No

Comments on the attitude and behavior of those unloading the livestock here. As an example, their temperament may be correlated to the number of slips and falls:

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**Secondary Items within Core Criteria 5:**

- 1. Does the plant have a “No Electric Prod Use” policy posted? Yes or No
- 2. Do the people unloading have electric prods in their hands? Yes or No
- 3. Did the driver use an electric prod in or through the sides or roof of the trailer? Yes or No
- 4. Were rattle paddles, sort boards, flags, or other handling tools used incorrectly? Yes or No

Comment on the use of electric prods here and if acceptable handling tools were used incorrectly:

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**Secondary Items within Core Criteria 6:**

- 1. Number of dead animals on the trailer (tally animals here): \_\_\_\_\_
- 2. Does the plant have a method for communicating back to the site of trailer loading? Yes or No
- 3. Were any of the animals unloaded considered severely emaciated? Yes or No  
If yes, tally the number of animals here: \_\_\_\_\_
- 4. Were severely injured/severely lame animals promptly euthanized? Yes or No

Comment on the number of dead or emaciated animals or animals with poor udder conditions on the trailer:

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Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## CATTLE AND CALVES SLAUGHTER AUDIT FORM

Date: \_\_\_\_\_ Time: \_\_\_\_\_  
 Plant: \_\_\_\_\_ Auditor: \_\_\_\_\_  
 Weather: \_\_\_\_\_ Line Speed: \_\_\_\_\_  
 Stunner Type: \_\_\_\_\_ Operator: \_\_\_\_\_  
 Plant Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Email: \_\_\_\_\_ Establishment No.: \_\_\_\_\_

### CORE CRITERIA 1: EFFECTIVE STUNNING — Conventional Only

Score 100 cattle in plants with line speeds greater than 100 cattle per hour. Fifty cattle should be audited in slower plants processing 50 to 99 head of cattle or calves per hour. In plants that process less than 50 per hour, score one hour of production. Ninety-six percent accuracy is required for a passing score. If audit is conducted in a religious slaughter facility, skip to Core Criteria 2. A point is subtracted for every animal that requires a second stun.

It can be helpful to note observations about missed stuns using the following guide:

- X = stunned correctly
- G = stunning failed due to apparent lack of maintenance
- A = missed stun due to poor aim

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Stun Efficacy Percent \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 2: BLEED RAIL INSENSIBILITY — Conventional and Religious**

Any sensible animal on the bleed rail constitutes an automatic audit failure. All signs of starting the process of return to sensibility (consciousness) must be absent. Score the same number of animals for stunning scoring. It is CRITICAL that animals showing signs of a return to sensibility be re-stunned immediately. There is “zero tolerance” for beginning any procedures like skinning the head or leg removal on any animal that shows signs of a return to sensibility. However, it is important to complete the audit and note observations about insensibility using the following guide:

- X = completely insensible; no signs of return to sensibility
- E = eyes moved when touched
- BL = blinking
- RB = rhythmic breathing
- VO = vocalization
- RR = righting reflex/animal attempts to lift head
- ST = stiff curled tongue (this must occur with another one of the criteria above in order to fail this criteria)

**Note signs of sensibility observed by animal number:**

1_____	11_____	21_____	31_____	41_____	51_____	61_____	71_____	81_____	91_____
2_____	12_____	22_____	32_____	42_____	52_____	62_____	72_____	82_____	92_____
3_____	13_____	23_____	33_____	43_____	53_____	63_____	73_____	83_____	93_____
4_____	14_____	24_____	34_____	44_____	54_____	64_____	74_____	84_____	94_____
5_____	15_____	25_____	35_____	45_____	55_____	65_____	75_____	85_____	95_____
6_____	16_____	26_____	36_____	46_____	56_____	66_____	76_____	86_____	96_____
7_____	17_____	27_____	37_____	47_____	57_____	67_____	77_____	87_____	97_____
8_____	18_____	28_____	38_____	48_____	58_____	68_____	78_____	88_____	98_____
9_____	19_____	29_____	39_____	49_____	59_____	69_____	79_____	89_____	99_____
10_____	20_____	30_____	40_____	50_____	60_____	70_____	80_____	90_____	100_____

Percent Insensible \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 3: ANIMALS FALLING DOWN — Conventional and Religious**

**3A: If you are also using the transportation audit the same day, you may transfer your score here.** Count the number of cattle that slip or fall during unloading. Falling is a core criteria slipping is a secondary criteria. In large plants where multiple vehicles are continuously unloaded, 100 cattle from three different vehicles are scored. For all species, an equal number of animals from each deck should be scored. Vehicles should be scored in the order of arrival at the unloading ramp. In small plants where vehicles are not continuously unloaded, a single vehicle should be scored. If no vehicle arrives, the score sheet is marked “unloading not observed.” One percent or fewer cattle should fall.

X = no slipping or falling    F = fell    S = slipped

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent falling \_\_\_\_\_      For recording as a secondary: Percent slipping \_\_\_\_\_

Note where falling occurred: \_\_\_\_\_

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_

**3B:** Count the number of cattle that 1) slip and 2) fall during handling in any of the following locations: crowd pen, single file chute, barns, alleys or stunning box. Falling is a core criteria and slipping is a secondary criteria. A slip is recorded when a knee or hock touches the floor. In cattle stun boxes and the single file chute, a slip should be recorded if the animal becomes agitated due to multiple short slips. A fall is recorded if the body touches the floor. One percent or fewer falls is required for a passing score.

X = no slipping or falling    F = fell                    S = slipped

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent falling \_\_\_\_\_ For recording as a secondary audit item: Percent slipping \_\_\_\_\_

Note where falling occurred:

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**CORE CRITERIA 4: VOCALIZATION — Conventional and Religious**

Monitor the number of cattle that vocalize in the crowd pen, lead-up chute stunning box or restrainer. Vocalizing animals in the crowd-pen and lead up chute are scored only during active handling. All vocalizations in the stun box or restrainer are counted. Score an animal as a vocalizer if it makes any audible vocalization. Three percent or less of cattle should moo or bellow. In Kosher or Halal operations or any operation using a head holder, up to five percent vocalization is acceptable for a passing score. It is helpful to note the possible cause of vocalization using the codes below:

- |                   |                     |
|-------------------|---------------------|
| X = non-vocalizer | P = prod            |
| S = stun          | F = fell or slipped |
| U = unknown cause | R = restrainer      |
| M = missed stuns  | SE = sharp edges    |
| UN = unprovoked   |                     |

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent vocalizing: \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 5: ELECTRIC PROD USE — Conventional and Religious**

Monitor the percentage of 100 cattle prodded with an electric prod at the restrainer entrance. Twenty-five percent or fewer cattle should be prodded for passing score. If multiple employees use prods, score 100 animals passing by each employee. Add the percentages together to determine final score. Note whether or not a prod was used for each animal and the apparent reason for prod use:

- X = moved quietly without an electric prod
- P = electric prod used without apparent reason
- B = electric prodded in response to balking

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent prodded \_\_\_\_\_      Percent balking \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 6: WILLFUL ACTS OF ABUSE/EGREGIOUS ACTS — Conventional and Religious**

**Any willful act of abuse/egregious acts is grounds for automatic audit failure.** Willful acts of abuse include, but are not limited to: 1) Dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal such as the eyes, ears, nose, anus, vulva, testicles or belly; 3) deliberate slamming of gates on livestock; 4) malicious driving of ambulatory livestock on top of one another either manually or with direct contact with motorized equipment (this excludes loading a non-ambulatory animal for transport); 5) purposefully driving livestock off high ledges, platforms or off a truck without a ramp (driving market weight or adult animals off a low stock trailer is acceptable); 6) hitting or beating an animal; or 7) animals frozen to the floor or sides of the trailer.

Were any willful acts of abuse observed?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, detail incident(s) below:

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**Notes:**

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**CORE CRITERIA 7: ACCESS TO WATER — Conventional and Religious**

Observe access to water. Do animals in all holding pens held for 30 minutes or longer have access to clean drinking water?

Yes \_\_\_\_\_ No \_\_\_\_\_

**Notes:**

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### Final Scoring – Cattle and Calves Audit

Core Criteria	Passing Score	Actual Score
<b>Core Criteria 1: Effective Stunning</b>	96% or greater accuracy	_____
<b>Core Criteria 2: Bleed Rail Insensibility</b>	100% insensible	_____
<b>Core Criteria 3: Falls</b>		
3A: Truck Unload	1% or fewer falls	_____
3B: In Plant	1% or fewer falls	_____
<b>Core Criteria 4: Vocalization</b>	3% or less	_____
	5% or less with head-holder/ritual	_____
<b>Core Criteria 5: Prod Use</b>	25% or less prodded	_____
<b>Core Criteria 6: Willful Acts of Abuse</b>	No willful acts of abuse	_____
<b>Core Criteria 7: Access to Water</b>	Yes – water provided	_____
<b>Plant passed all core criteria?</b>	Yes _____ No _____	

Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Secondary Audit Items: Cattle and Calves

These items may be helpful in gathering general information about a facility. However, because they involve a high degree of subjectivity and because they are almost impossible to score objectively, they should not be used in determining whether a facility passes or fails an audit.

1. Does the facility have a documented training program for its employees or use an outside training program to teach the principles of good animal handling?

Yes \_\_\_\_\_ No \_\_\_\_\_

2. Does the facility have a protocol that is written or widely understood for handling non-ambulatory animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

3. Are facility personnel trained in handling non-ambulatory animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

4. Do employees inspect the facility weekly and document for repair any damage or sharp protrusions that may injure animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

5. Does the facility provide special training to stunner operators to ensure proper equipment use and stunning efficacy?

Yes \_\_\_\_\_ No \_\_\_\_\_

6. Does the facility have a protocol for stunning equipment maintenance?

Yes \_\_\_\_\_ No \_\_\_\_\_

7. Does the facility train its personnel and have a written procedure or protocol about how to handle a sensible animal on the bleed rail?

Yes \_\_\_\_\_ No \_\_\_\_\_

8. Is non-slip flooring provided throughout the facility?

Yes \_\_\_\_\_ No \_\_\_\_\_ Slipping score (3% or less):

9. Are non-electrical devices the primary tool used to move livestock?

Yes \_\_\_\_\_ No \_\_\_\_\_

10. Do holding pens appear to be overcrowded?

Yes \_\_\_\_\_ No \_\_\_\_\_

11. Do crowd pens generally appear to be less than 75 percent full?

Yes \_\_\_\_\_ No \_\_\_\_\_

12. If mounting behaviors were observed, are animals that chronically mount removed from the pen?

Yes \_\_\_\_\_ No \_\_\_\_\_ NA \_\_\_\_\_

13. Does the company perform internal audits at least weekly?

Yes \_\_\_\_\_ No \_\_\_\_\_

14. Does the company have an emergency management plan for livestock on file?

Yes \_\_\_\_\_ No \_\_\_\_\_

14. The percentage of animals that slipped: \_\_\_\_\_

Percentage in stunning area: \_\_\_\_\_

Percentage during truck unloading: \_\_\_\_\_

### Final Scoring

Plant passed all core criteria? Yes \_\_\_\_\_ No \_\_\_\_\_

Were any acts of abuse observed? Yes \_\_\_\_\_ No \_\_\_\_\_

Plant passed all secondary criteria: Yes \_\_\_\_\_ No \_\_\_\_\_

If no on secondary, include notes related to secondary audit items:

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## PIG SLAUGHTER AUDIT FORM

Date: \_\_\_\_\_ Time: \_\_\_\_\_  
 Plant: \_\_\_\_\_ Auditor: \_\_\_\_\_  
 Weather: \_\_\_\_\_ Line Speed: \_\_\_\_\_  
 Stunner Type: \_\_\_\_\_ Operator: \_\_\_\_\_  
 Plant Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Email: \_\_\_\_\_ Establishment No.: \_\_\_\_\_

### CORE CRITERIA 1: STUNNING

#### Effective Electrical Stunning – Pigs

Electrodes must be applied properly to pigs to achieve effective stunning. Score 100 pigs in plants with line speeds greater than 100 per hour. Fifty pigs should be audited in slower plants that process 50 to 99 pigs per hour. In plants that process less than 50 per hour, score one hour of production. A score of 99 percent accurate placement of stunning electrodes is required for a passing score.

The following coding should be used:

X = electrode placed correctly      W = wrong placement

#### Animal Number:

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent correct placement: \_\_\_\_\_

#### Notes:

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**Amperage**

Is the stunner set at a minimum of 1.25 amps for market weight pigs and two amps for sows?

Yes \_\_\_\_\_ No \_\_\_\_\_ Volts \_\_\_\_\_ Stun Time in Sec. \_\_\_\_\_ Amps \_\_\_\_\_

**Hot Wanding**

Score 100 pigs in the restrainer. Measure the percentage that vocalize due to application of fully energized electrodes. No more than one percent of animals may vocalize due to hot wanding.

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent hot wanded: \_\_\_\_\_

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**CORE CRITERIA FOR CO<sub>2</sub> SYSTEMS: OVERLOADING OF GONDOLAS\***

Score 50 gondolas in large plants that process 500 or more pigs per CO<sub>2</sub> machine per hour to determine the percentage of gondolas (elevator boxes) that are overloaded. In small plants score 25 gondolas. A gondola or elevator is to be scored as overloaded if there is not sufficient space for the animals to stand or lie down without being on top of each other. No more than four percent of gondolas may be overloaded for a passing score.

Score on a per gondola basis:

**Gondola Number:**

1 _____	11 _____	21 _____	31 _____	41 _____
2 _____	12 _____	22 _____	32 _____	42 _____
3 _____	13 _____	23 _____	3 _____	43 _____
4 _____	14 _____	24 _____	34 _____	44 _____
5 _____	15 _____	25 _____	35 _____	46 _____
7 _____	17 _____	27 _____	37 _____	47 _____
8 _____	18 _____	28 _____	38 _____	48 _____
9 _____	19 _____	29 _____	39 _____	49 _____
10 _____	20 _____	30 _____	40 _____	50 _____

Percent overloaded: \_\_\_\_\_

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\* For gas systems where the animals ride head to tail on a continuous conveyor that does not have separate animal compartments, do not use this scoring system. Omit this score and score the percentage of animals prodded with an electric prod.

**CORE CRITERIA 2: INSENSIBILITY ON THE BLEED RAIL**

Any sensible animal on the bleed rail constitutes an automatic audit failure. All signs of starting the process of return to sensibility (consciousness) must be absent. Score the same number of animals for stunning scoring. It is CRITICAL that animals showing signs of a return to sensibility be restunned immediately. There is “zero tolerance” for beginning any procedures like skinning the head or leg removal on any animal that shows signs of a return to sensibility. However, it is important to complete the audit and note observations about insensibility using the following guide:

X = completely insensible; no signs of return to sensibility

BL = blinking – do not count a vibrating eye as a blink; only natural blinks like those that might be observed in the yards should be documented

RB = rhythmic breathing

VO = vocalization no matter how small

RR = righting reflex/animal attempts to lift head while hanging on the rail

Note signs of sensibility observed by animal number:

**Note signs of sensibility observed by animal number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent Insensible \_\_\_\_\_

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**CORE CRITERIA 3: ANIMALS FALLING DOWN**

**3A: If you are also using the transportation audit the same day, you may transfer your score here.**

Count the number of pigs that slip or fall during unloading. Falling is a core criteria and slipping is a secondary criteria. In plants where a large truck holds more than 100 animals, a minimum of two vehicles should be observed. For all species, an equal number of animals from each deck should be scored.

Vehicles should be scored in the order of arrival at the unloading ramp. In small plants where vehicles are not continuously unloaded, a single vehicle should be scored. If no vehicle arrives, the score sheet is marked "unloading not observed." One percent or fewer pigs should fall.

X = no slipping or falling    F = fell    S = slipped

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent falling \_\_\_\_\_      For recording as a secondary: Percent slipping \_\_\_\_\_

Note where falling occurred: \_\_\_\_\_

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**3B:** Count the number of pigs that 1) slip and 2) fall during handling in the crowd pen, single file chute, barns, alleys or stunning box. One percent or fewer pigs may fall for a passing score. A fall is recorded if the body touches the floor. Even slight slipping should be noted and recorded as a secondary criteria. If flooring results in slight slipping for most animals, this can result in fear or agitation and should be corrected. Falling is a core criteria and slipping is a secondary criteria. Falls caused by powered gates are counted.

X = no slipping or falling      F = fell      S = slipped

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent falling \_\_\_\_\_ For recording as a secondary audit item: Percent slipping \_\_\_\_\_

Note where falling occurred:

**Notes:**

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**CORE CRITERIA 4: PIG VOCALIZATION DURING ELECTRIC OR CAPTIVE BOLT STUNNING**

**Vocalization — Electric Stunning or CO<sub>2</sub> Systems With a Single File Conveyor Restrainer**

Monitor the number of pigs that squeal in the restrainer. Score only squeals determined to be provoked by humans or equipment. Pigs that are provoked to squeal should not exceed 5%. It is helpful to note the possible cause of squeals using the codes below. Do not count hot wandering in this section because it is a stunning measurement: For group CO<sub>2</sub> Stunning Systems, vocalization is a secondary item because it is difficult to count the number of pigs that are vocalizing.

X = non-vocalizer      P = prod      S = stun      F = fell or slipped      O = other      R = Restrainer

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent vocalizing: \_\_\_\_\_

**Room Vocalization – All Stunning Systems — FOR INTERNAL AUDITS ONLY:**

Count the number of stunning cycles where squealing is heard. Count 100 stunning cycles. Note: there is a high degree of variability due to room acoustics and human factors. This criterion cannot be compared across plants, but is effective in monitoring internal performance. Fewer than 50 percent of the stunning cycles should have squealing.

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent vocalizing: \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 5: ELECTRIC PROD USE**

**Electric or CO<sub>2</sub> Stunning Systems Where Pigs Enter in Single File**

Monitor the percentage of 100 pigs prodded with an electric prod at the restrainer entrance. Twenty-five percent or less of pigs may be prodded for a passing score. Note whether or not a prod was used for each animal and the apparent reason for prod use. If multiple employees use prods, score 100 animals passing by each employee. Add the percentages together to come up with a final score:

X = moved quietly without an electric prod  
 B = electric prodded in response to balking

P = electric prod used without apparent reason

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent prod use \_\_\_\_\_ Percent balking \_\_\_\_\_

**Notes:** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**CO<sub>2</sub> Systems where pigs enter a CO<sub>2</sub> chamber in groups and electric stunning systems where pigs are stunned on the floor in groups.**

Monitor the percentage of 100 pigs prodded with an electric prod when animals are being moved into a gondola or when electric stunning occurs on the floor in a group setting. Five percent or less of pigs may be prodded for a passing score. Note whether or not a prod was used for each animal and the apparent reason for prod use. If multiple employees use prods, score 100 animals passing by each employee. Add the percentages together to come up with a final score:

X = moved quietly without an electric prod  
 B = electric prodded in response to balking

P = electric prod used without apparent reason

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent prod use \_\_\_\_\_ Percent balking \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 6: WILLFUL ACTS OF ABUSE/EGREGIOUS ACTS**

**Any willful act of abuse/egregious act is grounds for automatic audit failure.** Willful acts of abuse include, but are not limited to: 1) Dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal such as the eyes, ears, nose, anus, vulva, testicles or belly; 3) deliberate slamming of gates on livestock; 4) malicious driving of ambulatory livestock on top of one another either manually or with direct contact with motorized equipment (this excludes loading a non-ambulatory animal for transport); 5) purposefully driving livestock off high ledges, platforms or off a truck without a ramp (driving market weight or adult animals off a low stock trailer is acceptable); 6) hitting or beating an animal; or 7) animals frozen to the floor or sides of the trailer.

Any willful act of abuse observed?    Yes    or    No

If yes, detail incident(s) below:

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**Notes:**

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**CORE CRITERIA 7: ACCESS TO WATER**

Observe access to water. Do animals in all holding pens held for a period of 30 minutes or longer have access to clean drinking water?

Yes \_\_\_\_\_                      No \_\_\_\_\_

**Notes:**

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## Final Scoring – Pig Audit

Core Criteria	Passing Score	Actual Score	
<b>Core Criteria 1: Effective Stunning</b>	1% or less inaccurate wand placement and	_____	
	1% or less hot wanded or	_____	
	4% or less overloaded gondolas	_____	
<b>Core Criteria 2: Bleed Rail Insensibility</b>	100% insensible	_____	
<b>Core Criteria 3: Falls</b>			
	3A: Truck Unload	1% or fewer falls	_____
	3B: In Plant	1% or fewer falls	_____
<b>Core Criteria 4: Vocalization*</b>	5% or less	_____	
<b>Core Criteria 5: Prod Use</b>	25% or less (single file)	_____	
	5% or less (group system)	_____	
<b>Core Criteria 6: Willful Acts of Abuse</b>	No willful acts of abuse	_____	
<b>Core Criteria 7: Access to Water</b>	Yes – water provided	_____	
<b>Plant passed all core criteria?</b>		Yes _____ No _____	

Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\*Do not count when CO2 systems are in use

## Secondary Audit Items: Pigs

These items may be helpful in gathering general information about a facility. However, because they involve a high degree of subjectivity and because they are almost impossible to score objectively, they should not be used in determining whether a facility passes or fails an audit.

1. Does the facility have a documented training program for its employees or use an outside training program to teach the principles of good animal handling?

Yes \_\_\_\_\_ No \_\_\_\_\_

2. Does the facility have a protocol that is written or widely understood for handling non-ambulatory animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

3. Are facility personnel trained in handling non-ambulatory animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

4. Do employees inspect the facility weekly and document for repair any damage or sharp protrusions that may injure animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

5. Does the facility provide special training to stunner operators to ensure proper equipment use and stunning efficacy?

Yes \_\_\_\_\_ No \_\_\_\_\_

6. Does the facility have a protocol for stunning equipment maintenance?

Yes \_\_\_\_\_ No \_\_\_\_\_

7. Does the facility train its personnel and have a written procedure or protocol about how to handle a sensible animal on the bleed rail?

Yes \_\_\_\_\_ No \_\_\_\_\_

8. Is non-slip flooring provided throughout the facility?

Yes \_\_\_\_\_ No \_\_\_\_\_ Slipping score (3% or less): \_\_\_\_\_

9. Are non-electrical devices the primary tool used to move livestock?

Yes \_\_\_\_\_ No \_\_\_\_\_

10. Do holding pens appear to be overcrowded??

Yes \_\_\_\_\_ No \_\_\_\_\_

11. Do crowd pens generally appear to be less than 75 percent full?

Yes \_\_\_\_\_ No \_\_\_\_\_

12. If mounting behaviors were observed, are animals that chronically mount removed from the pen?

Yes \_\_\_\_\_ No \_\_\_\_\_ NA \_\_\_\_\_

13. Does the company have an emergency management plan for livestock on file?

Yes \_\_\_\_\_ No \_\_\_\_\_

14. The percentage of animals that slipped:

Percentage in stunning area: \_\_\_\_\_ Percentage during truck unloading: \_\_\_\_\_

## Final Scoring

Plant passed all core criteria? Yes \_\_\_\_\_ No \_\_\_\_\_

Were any acts of abuse observed? Yes \_\_\_\_\_ No \_\_\_\_\_

Plant passed all secondary criteria: Yes \_\_\_\_\_ No \_\_\_\_\_

If no on secondary, include notes related to secondary audit items:

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## SHEEP SLAUGHTER AUDIT FORM

Date: \_\_\_\_\_ Time: \_\_\_\_\_  
 Plant: \_\_\_\_\_ Auditor: \_\_\_\_\_  
 Weather: \_\_\_\_\_ Line Speed: \_\_\_\_\_  
 Stunner Type: \_\_\_\_\_ Operator: \_\_\_\_\_  
 Plant Contact Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Email: \_\_\_\_\_ Establishment No.: \_\_\_\_\_

*Note: Sheep naturally vocalize. Therefore vocalization scoring is omitted as a criterion for this audit. Hot wanding also is omitted as a criterion.*

### CORE CRITERIA 1: EFFECTIVE STUNNING — Conventional Only

#### Captive Bolt Stunning

Ninety-six percent or more sheep must be stunned effectively with a single shot. It can be helpful to note observations about missed stuns using the following guide:

- X = stunned correctly
- G = stunning failed due to apparent lack of maintenance
- A = missed stun due to poor aim

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent of sheep stunned effectively with a single shot:

**Notes:**

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**Electric Stunning — proper application of electrodes to sheep**

Electrodes must be applied properly to sheep to achieve effective stunning. Score 100 sheep. A score of 99 percent accurate placement of stunning electrodes is required for passing score. The following coding should be used:

X = electrode placed correctly

W = wrong placement

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent correct placement: \_\_\_\_\_

Is the stunner set at a minimum of 1 amp?

Yes \_\_\_\_\_

No \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 2: BLEED RAIL INSENSIBILITY — Conventional and Religious**

Any sensible animal on the bleed rail constitutes an automatic audit failure. All signs of starting the process of return to sensibility (consciousness) must be absent. It is CRITICAL that animals showing signs of a return to sensibility be re-stunned immediately. There is “zero tolerance” for beginning any procedures like skinning the head or leg removal on any animal that shows signs of a return to sensibility. However, it is important to complete the audit and note observations about insensibility using the following guide:

- X = completely insensible; no signs of return to sensibility
- BL = blinking – do not count a vibrating eye as a blink; only natural blinks like those that might be observed in the yards should be documented
- RB = rhythmic breathing
- VO = vocalization no matter how small
- RR = righting reflex/animal attempts to lift head while hanging on the rail

**Note signs of sensibility observed by animal number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent Insensible \_\_\_\_\_

**Notes:**

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In sheep plants that conduct head-only, reversible electric stunning for religious reasons, it is strongly recommended that plants add an additional audit point to ensure that the animal does not show signs of a return to sensibility before bleeding. (See page 54).

**CORE CRITERIA 3: ELECTRIC PROD USE — Conventional and Religious**

Monitor the percentage of 100 sheep prodded with an electric prod. Since OIE (2008) guidelines state the electric prods should not be used on sheep, electrical prod use must be confined to a single electric prod at the restrainer entrance. The electric prod should only be used on stubborn, large sheep that are too big to be pushed by a person into the restrainer. Electric prod use should be 5% or less and only at the restrainer entrance.

- X = moved quietly without an electric prod
- P = electric prod used without apparent reason
- B = electric prodded in response to balking

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent prod use \_\_\_\_\_ Percent balking \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 4: ANIMALS FALLING DOWN — Conventional and Religious**

**3A:** Count the number of sheep that 1) slip and 2) fall during handling in the crowd pen, single file chute, barns, alleys or stunning box. Falling is a core criteria and slipping is a secondary criteria. One percent or fewer sheep may fall. A fall is recorded if the body touches the floor. Even slight slipping should be noted.

X = no slipping or falling    F = fell    S = slipped

**Animal Number:**

1 _____	11 _____	21 _____	31 _____	41 _____	51 _____	61 _____	71 _____	81 _____	91 _____
2 _____	12 _____	22 _____	32 _____	42 _____	52 _____	62 _____	72 _____	82 _____	92 _____
3 _____	13 _____	23 _____	33 _____	43 _____	53 _____	63 _____	73 _____	83 _____	93 _____
4 _____	14 _____	24 _____	34 _____	44 _____	54 _____	64 _____	74 _____	84 _____	94 _____
5 _____	15 _____	25 _____	35 _____	45 _____	55 _____	65 _____	75 _____	85 _____	95 _____
6 _____	16 _____	26 _____	36 _____	46 _____	56 _____	66 _____	76 _____	86 _____	96 _____
7 _____	17 _____	27 _____	37 _____	47 _____	57 _____	67 _____	77 _____	87 _____	97 _____
8 _____	18 _____	28 _____	38 _____	48 _____	58 _____	68 _____	78 _____	88 _____	98 _____
9 _____	19 _____	29 _____	39 _____	49 _____	59 _____	69 _____	79 _____	89 _____	99 _____
10 _____	20 _____	30 _____	40 _____	50 _____	60 _____	70 _____	80 _____	90 _____	100 _____

Percent falling \_\_\_\_\_      For recording as a secondary: Percent slipping \_\_\_\_\_

Note where falling occurred: \_\_\_\_\_

**Notes:**

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**3B: If you are also using the transportation audit the same day, you may transfer your score here.** Count the number of sheep that slip or fall during unloading. In plants where a large truck holds more than 100 animals, a minimum of two vehicles should be observed. For all species, an equal number of animals from each deck should be scored. Vehicles should be scored in the order of arrival at the unloading ramp. In small plants where vehicles are not continuously unloaded, a single vehicle should be scored. If no vehicle arrives, the score sheet is marked “unloading not observed.” One percent or fewer may fall.

X = no slipping or falling    F = fell    S = slipped

**Animal Number:**

1_____	11_____	21_____	31_____	41_____	51_____	61_____	71_____	81_____	91_____
2_____	12_____	22_____	32_____	42_____	52_____	62_____	72_____	82_____	92_____
3_____	13_____	23_____	33_____	43_____	53_____	63_____	73_____	83_____	93_____
4_____	14_____	24_____	34_____	44_____	54_____	64_____	74_____	84_____	94_____
5_____	15_____	25_____	35_____	45_____	55_____	65_____	75_____	85_____	95_____
6_____	16_____	26_____	36_____	46_____	56_____	66_____	76_____	86_____	96_____
7_____	17_____	27_____	37_____	47_____	57_____	67_____	77_____	87_____	97_____
8_____	18_____	28_____	38_____	48_____	58_____	68_____	78_____	88_____	98_____
9_____	19_____	29_____	39_____	49_____	59_____	69_____	79_____	89_____	99_____
10_____	20_____	30_____	40_____	50_____	60_____	70_____	80_____	90_____	100_____

Percent falling \_\_\_\_\_ For recording as a secondary: Percent slipping \_\_\_\_\_

Note where falling occurred: \_\_\_\_\_

**Notes:**

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**CORE CRITERIA 6: WILLFUL ACTS OF ABUSE/EGREGIOUS ACTS — Conventional and Religious**

**Any willful act of abuse/egregious act is grounds for automatic audit failure.** Willful acts of abuse include, but are not limited to: 1) Dragging a conscious, non-ambulatory animal; 2) intentionally applying prods to sensitive parts of the animal such as the eyes, ears, nose, anus or testicles; 3) deliberate slamming of gates on livestock; 4) malicious driving of ambulatory livestock on top of one another either manually or with direct contact with motorized equipment (this excludes loading a non-ambulatory animal for transport); 5) purposefully driving livestock off high ledges, platforms or off a truck without a ramp (driving market weight or adult animals off a low stock trailer is acceptable); 6) hitting or beating an animal; 7) animals frozen to the floor or sides of the trailer; or 8) lifting an animal by the wool or throwing a sheep.

Any willful act of abuse observed?      Yes   or   No

If yes, detail incident(s) below:

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**Notes:**

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**CORE CRITERIA 7: ACCESS TO WATER — Conventional and Religious**

Observe access to water. Do animals in all holding pens held for a period of 30 minutes or longer have access to clean drinking water?

Yes \_\_\_\_\_      No \_\_\_\_\_

**Notes:**

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## Final Scoring – Sheep Audit

Core Criteria	Passing Score	Actual Score
<b>Core Criteria 1: Effective Stunning</b>	96% or greater accuracy — captive bolt	_____
	99% or greater accurate placement electric	_____
<b>Core Criteria 2: Bleed Rail Insensibility</b>	100% insensible	_____
<b>Core Criteria 3: Falls</b>		
3A: Truck Unload	1% or fewer falls	_____
3B: In Plant	1% or fewer falls	_____
<b>Core Criteria 4: Prod Use</b>	5% or less	_____
<b>Core Criteria 5: Willful Acts of Abuse</b>	No willful acts of abuse	_____
<b>Core Criteria 6: Access to Water</b>	Yes – water provided	_____
<b>Plant passed all core criteria?</b>		Yes _____ No _____

Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Secondary Audit Items: Sheep

These items may be helpful in gathering general information about a facility. However, because they involve a high degree of subjectivity and because they are almost impossible to score objectively, they should not be used in determining whether a facility passes or fails an audit.

1. Does the facility have a documented training program for its employees or use an outside training program to teach the principles of good animal handling?

Yes \_\_\_\_\_ No \_\_\_\_\_

2. Does the facility have a protocol that is written or widely understood for handling non-ambulatory animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

3. Are facility personnel trained in handling non-ambulatory animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

4. Do employees inspect the facility weekly and document for repair any damage or sharp protrusions that may injure animals?

Yes \_\_\_\_\_ No \_\_\_\_\_

5. Does the facility provide special training to stunner operators to ensure proper equipment use and stunning efficacy?

Yes \_\_\_\_\_ No \_\_\_\_\_

6. Does the facility have a protocol for stunning equipment maintenance?

Yes \_\_\_\_\_ No \_\_\_\_\_

7. Does the facility train its personnel and have a written procedure or protocol about how to handle a sensible animal on the bleed rail?

Yes \_\_\_\_\_ No \_\_\_\_\_

8. Is non-slip flooring provided throughout the facility?

Yes \_\_\_\_\_ No \_\_\_\_\_ Slipping score (3% or less):

9. Are non-electrical devices the primary tool used to move livestock?

Yes \_\_\_\_\_ No \_\_\_\_\_

10. Do holding pens appear to be overcrowded??

Yes \_\_\_\_\_ No \_\_\_\_\_

11. Do crowd pens generally appear to be less than 75 percent full?

Yes \_\_\_\_\_ No \_\_\_\_\_

12. If mounting behaviors were observed, are animals that chronically mount removed from the pen?

Yes \_\_\_\_\_ No \_\_\_\_\_ NA \_\_\_\_\_

13. Does the company perform internal audits at least weekly?

Yes \_\_\_\_\_ No \_\_\_\_\_

14. Does the company have an emergency management plan for livestock on file?

Yes \_\_\_\_\_ No \_\_\_\_\_

15. The percentage of animals that slipped:

Percentage in stunning area: \_\_\_\_\_

Percentage during truck unloading: \_\_\_\_\_

### **Final Scoring**

Plant passed all core criteria? Yes \_\_\_\_\_ No \_\_\_\_\_

Were any acts of abuse observed? Yes \_\_\_\_\_ No \_\_\_\_\_

Plant passed all secondary criteria: Yes \_\_\_\_\_ No \_\_\_\_\_

If no on secondary, include notes related to secondary audit items:

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